

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 36507-193187

First Inventor John H. SOHL III

Title SMART DATA SUBSURFACE DATA REPOSITORY SYSTEM,
METHOD AND COMPUTER PROGRAM PRODUCT

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 54]
preferred arrangement set forth below
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Detailed Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly unexecuted (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
- 6a. ☒ Priority is claimed under the provisions of 35 U.S.C. § 119
Appln No. 60/412,575 filed in the U.S. on September 23, 2002
Appln No. _____ filed in _____ on _____

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies (stated in
Preliminary Amendment)

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

--This is a divisional of prior Application _____, filed _____, now allowed, and a continuation of prior Application _____, filed on _____, now
abandoned, the Disclosure of which is incorporated herein by reference.--

Prior application information:

Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

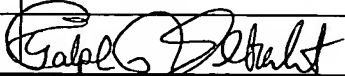
20. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

26694

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name	VENABLE					
Address	P.O. Box 34385					
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Country	U.S.A	Telephone	202-962-4800	Fax	202-962-8300	

Name (Print/Type)	Ralph P. Albrecht	Registration No. (Attorney/Agent)	43,466
Signature		Date	9/22/03

PC Docs NO. 4859866

VENABLE
LITIGATORS AT LAW22389 U.S. PTO
10/666557

09/22/03

13408 U.S. PTO
09/22/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete If Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	NEW APPLICATION
		Filing Date	HEREWITH
		First Named Inventor	John H. SOHL, III
		Examiner Name	unassigned
		Group / Art Unit	unassigned
TOTAL AMOUNT OF PAYMENT (\$) 489		Attorney Docket No.	36507-193187-

<p>METHOD OF PAYMENT (check all that apply)</p> <p> <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> None </p> <p><input type="checkbox"/> Deposit Account:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Deposit Account Number</td> <td style="border: 1px solid black; padding: 2px;">22-0261</td> </tr> <tr> <td>Deposit Account Name</td> <td style="border: 1px solid black; padding: 2px;">VENABLE ATTORNEYS AT LAW</td> </tr> </table> <p>The Commissioner is authorized to: (check all that apply)</p> <p> <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) under 1.16 or 1.17 during pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. </p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>740</td> <td>2001</td> <td>370</td> <td>Utility filing fee</td> <td style="border: 1px solid black; text-align: center;">370</td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing fee</td> <td style="border: 1px solid black; text-align: center;"></td> </tr> <tr> <td>1003</td> <td>510</td> <td>2003</td> <td>255</td> <td>Plant filing fee</td> <td style="border: 1px solid black; text-align: center;"></td> </tr> <tr> <td>1004</td> <td>740</td> <td>2004</td> <td>370</td> <td>Reissue filing fee</td> <td style="border: 1px solid black; text-align: center;"></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td style="border: 1px solid black; text-align: center;"></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="border: 1px solid black; text-align: center;">(\$ 370)</td> </tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td>Total Claims</td> <td style="border: 1px solid black; text-align: center;">26</td> <td>-20 **</td> <td>=</td> <td style="border: 1px solid black; text-align: center;">6</td> <td>X</td> <td style="border: 1px solid black; text-align: center;">9</td> <td>=</td> <td style="border: 1px solid black; text-align: center;">54</td> </tr> <tr> <td>Independent Claims</td> <td style="border: 1px solid black; text-align: center;">1</td> <td>-3 **</td> <td>=</td> <td style="border: 1px solid black; text-align: center;">0</td> <td>X</td> <td style="border: 1px solid black; text-align: center;">42</td> <td>=</td> <td style="border: 1px solid black; text-align: center;">0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td>X</td> <td style="border: 1px solid black; text-align: center;"></td> <td>=</td> <td style="border: 1px solid black; text-align: center;">0</td> </tr> </table> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>84</td> <td>2201</td> <td>42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>280</td> <td>2203</td> <td>140</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>84</td> <td>2204</td> <td>42</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="border: 1px solid black; text-align: center;">(\$ 54)</td> </tr> </tbody> </table>	Deposit Account Number	22-0261	Deposit Account Name	VENABLE ATTORNEYS AT LAW	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	740	2001	370	Utility filing fee	370	1002	330	2002	165	Design filing fee		1003	510	2003	255	Plant filing fee		1004	740	2004	370	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)					(\$ 370)	Total Claims	26	-20 **	=	6	X	9	=	54	Independent Claims	1	-3 **	=	0	X	42	=	0	Multiple Dependent					X		=	0	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim, if not paid		1204	84	2204	42	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$ 54)	<p>3. 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1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																																						
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																						
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																																						
1252	400	2252	200	Extension for reply within second month																																																																																																																																																																																																																																																																																																																						
1253	920	2253	460	Extension for reply within third month																																																																																																																																																																																																																																																																																																																						
1254	1,440	2254	720	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																																						
1255	1,960	2255	980	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																																						
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																																																																																																																																						
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																						
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																																																																																																																						
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																						
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																						
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																						
1501	1,280	2501	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																						
1502	460	2502	230	Design issue fee																																																																																																																																																																																																																																																																																																																						
1503	620	2503	310	Plant issue fee																																																																																																																																																																																																																																																																																																																						
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																						
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																																																																																																																																																						
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																						
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																						
1809	740	2809	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																																																						
1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																																																						
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																						
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																						
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																										
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 65)																																																																																																																																																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Ralph P. Albrecht	Registration No. Attorney/Agent	43,466	Telephone	202-216-8166
Signature				Date	9/22/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Washington, DC 20231.